

# Strive Financial Group, LLC Client Relationship Summary – Form CRS

## July 10, 2026

### Item 1. Introduction

Strive Financial Group, LLC (“Firm”, “We”, “Us”, “Our”) is an SEC registered investment advisor and provides advisory services for a fee rather than for brokerage commissions. As a retail investor, it is important to understand the differences between services and fees of an investment advisor and a broker-dealer. *Investor.gov/CRS* offers free and simple tools to research firms and financial professionals. Additionally, it also provides educational materials about broker-dealers, investment advisors, and investing.

### Item 2. Relationships and Services

#### ***What investment services and advice can you provide me?***

We offer the following investment advisory services to you:

**Asset Management:** We will offer you advice on a regular basis. We will discuss your investment goals, design with you a strategy to achieve your investment goals, and regularly monitor your account. We will monitor your account on a discretionary basis (we can buy and sell investments in your account without asking you in advance). We do not limit advisors to proprietary products or a limited menu of products and types of investments. This service will continue pursuant to the terms of the executed Advisory Agreement. We do not have a minimum to open an account.

**Financial Planning:** Services will be provided to you based on your selection on the Advisory Agreement and may include, but are not limited to, a review of investment accounts, including reviewing asset allocation and providing repositioning recommendations; strategic tax planning; a review of retirement accounts and plans that have recommendations; a review of insurance policies and recommendations for changes, if necessary; one or more retirement scenarios; estate planning review and recommendations; and education planning with funding recommendations. Services will be considered complete upon delivery of the recommendations or if contracted for ongoing services recommendations will be made periodically on an ongoing basis until terminated by either party.

**ERISA Services:** We serve as a limited scope ERISA 3(21) Fiduciary that can advise, help and assist plan sponsors with their investment decisions on a non-discretionary basis. Plans are monitored on an ongoing basis. We have a fiduciary duty to act in the best interest of the Client. The plan sponsor is still ultimately responsible for the decisions made in their plan, though using us can help the plan sponsor delegate liability by following a diligent process.

We act as an ERISA 3(38) Investment Manager where we offer discretionary management and control of a given retirement plan’s assets. We are solely responsible and liable for the selection, monitoring and replacement of the plan’s investment options on an ongoing basis.

#### ***Additional Information***

For more information about our services, we recommend reading our ADV Part 2A Items 4, 5, 7 and 10.

#### **Conversation Starters**

“Given my financial situation, should I choose an investment advisory service? Why or why not?”

“How will you choose investments to recommend to me?”

“What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?”

### Item 3. Fees, Costs, Conflicts and Standard of Conduct

#### ***What fees will I pay?***

We are paid for our services as follows:

**Asset Management:** The amount paid to our firm and your financial professional generally does not vary based on the type of investments selected on your behalf. The asset-based fee reduces the value of your account and will be generally deducted from your account. Some investments (such as mutual funds and variable annuities) impose additional fees that will reduce the value of your investment over time. Also, with certain investments such as variable annuities, you may have to pay fees such as “surrender charges” to sell the investment. Fees are negotiable, will vary and are billed in advance, either quarterly or monthly, based on assets under management at the end of the prior quarter. Generally, the more assets you have in the advisory account, the more you will pay in total fees. We therefore have an incentive to increase the assets in your account in order to increase our fees. You may also pay a transaction fee when we buy and sell an investment for you. You will also pay fees to a broker-dealer or bank that will hold your assets (called “custody”). You pay our advisory fee even if there were no transactions within the account.

**Financial Planning:** We charge a fixed fee payable upon commencement of the advisory agreement for one time services. For ongoing services fees are billed monthly, quarterly or annually in advance.

**ERISA Services:** The amount paid to our firm and your financial professional generally does not vary based on the type of investments selected on your behalf. The asset-based fee reduces the value of your account and will be generally deducted from your account. Some investments (such as mutual funds and variable annuities) impose additional fees that will reduce the value of your investment over time. Also, with certain investments such as variable annuities, you may have to pay fees such as “surrender charges” to sell the investment. Our fees vary and are negotiable. Generally, the more assets you have in the advisory account, the more you will pay in total fees. We therefore have an incentive to increase the assets in your account in order to increase our fees. You may also pay a transaction fee when we buy and sell an investment for you. You will also pay fees to a broker-dealer or bank that will hold your assets (called “custody”). You pay our advisory fee even if there were no transactions within the account.

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You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. For more information regarding our fees and costs, review ADV Part 2A Item 5.

### **Conversation Starters**

"Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?"

***What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?***

***When we act as your investment adviser***, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

**Commissions:** Our firm and our associated persons may receive commissions from the sale of insurance products and services. This conflict is mitigated by our fiduciary duty and adherence to our code of ethics. When referring clients to purchase insurance, the client's best interest will be the main determining factor.

### **Conversation Starters**

"How might your conflicts of interest affect me, and how will you address them?"

### **Additional Information**

For more information about our conflicts of interest, we recommend reading our ADV Part 2A, Items 4 and 10.

### **How do your financial professionals make money?**

Our financial services professionals are compensated based on a percentage of assets they manage, by sales commissions, and with trips and attendance to conferences, including meals and entertainment, for meeting certain levels of businesses.

This is a conflict of interest because our financial professionals have an incentive to encourage you to increase your assets in your accounts, recommend our advisory services to you, and recommend you purchase investments that result in additional compensation to them. For more information about our conflicts of interest, we recommend reading our ADV Part 2A, Item 10.

## **Item 4. Disciplinary History**

### **Do you or your financial professionals have legal or disciplinary history?**

Yes, one of our financial professionals currently has disciplinary history to disclose. This event is disclosed in the specific individual's Form U4. The firm itself has no disciplinary history. Visit [Investor.gov/CRS](https://Investor.gov/CRS) for a free and simple research tool.

### **Conversation Starters**

"As a financial professional, do you have any disciplinary history? For what type of conduct?"

## **Item 5. Additional Information**

To find additional information about us and to request a copy of the *relationship summary*, please send us an email at [jhillman@strivefg.com](mailto:jhillman@strivefg.com). If you would like to request up-to-date information as well as to request a copy of the relationship summary, please contact us via phone at 317-590-0146.

### **Conversation Starters**

"Who is my primary contact person? Is he or she a representative of an investment advisor or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?"

# **Strive Financial Group, LLC**

## **Exhibit to Form CRS**

**July 10, 2026**

Strive Financial Group, LLC ("SFG") is required to update its Form CRS when information in the Form CRS becomes materially inaccurate. This Exhibit summarizes the following material changes or what has been clarified in the firm's Form CRS:

- Item 3 Fees, Costs, Conflicts and Standard of Conduct, language has been amended to reflect that fees are negotiable, will vary and are billed in advance, either quarterly or monthly, based on assets under management at the end of the prior quarter.
- Under Item 4 Disciplinary History, language has been amended to reflect that one of SFG's professionals has disciplinary history to disclose. This event is disclosed in the specific individual's Form U4. The firm itself has no disciplinary history.
- Date has been removed from the bottom of the footer and added prominently in the header at the beginning of the relationship summary.
- Mention of language that reflected "Is an Investment Advisory Account Right for you?" has since been removed from the relationship summary.